

Local Projects Pavement Design Guidance (2018)

Date: review 2018

Source: Varilek/Soula

NDOT is required by the Code of Federal Regulations (CFR) Title 23 to review all pavement designs for federally funded projects administered by the state. NDOT requires different levels of documentation for different types of pavement projects. Below are the required documentation requirements for:

Maintenance projects (2" or less of HMA), pavement repairs, minor intersection modifications (matching or exceeding existing pavement depths), preventative maintenance projects (micro-surfacing, armor coats, etc.)

- See 2.5 – First page of Local Projects Pavement Determination Data Sheet

Resurfacing, Restoration, and Rehabilitation (3R) Structurally enhance and extend the service life of an existing pavement and improve load carrying capacity (typical fill depth is greater than 2" and up to and including 6" of Asphaltic Concrete or Portland Cement Concrete.) Types of Improvements Include – Resurfacing, addition of auxiliary lanes, lane and shoulder widening, vertical and horizontal curves, and base repairs, etc.

New and Reconstruction (Resurfacing with >6" of HMA or PCC, new build HMA or PCC)

- Pg 1 & 2 or 1 & 3 of Local Projects Pavement Determination Data Sheet as applicable
- Appropriate tables, figures and nomographs

All design assumptions and calculations - See Nebraska Administrative Code Title 428, Chapter 2, pg. 39 for more information. <https://dot.nebraska.gov/media/5593/nac-428-rules-regs-nbcs.pdf>

Reference:

- AASHTO Guide For Design of Pavement Structures 1993 (Referenced as **AASHTO** below, may be purchased on-line.) NDOT uses and recommends the AASHTO design method. Other nationally accepted design methods may be acceptable.

AASHTO 93 Pavement Design Common Errors:

- Utilizing a 24.3 Growth Factor from Pavement Design Workshop example for all design scenarios
 - GF = 24.3 is only applicable for a 20 year performance period with 2% Growth Rate
- Assuming traffic projection time period (yrs.) must be the same as performance period (n).
 - The performance period (n) is independent of the traffic projection (yrs.) and can represent any design life the designer chooses. Typical values include 20 years for full depth HMA and 35 yrs. for full depth PCC.
- Not using direction or lane factors in ESAL calculation typically resulting in 2X the appropriate ESALs.

Summary of AASHTO 93 Pavement Design Process for *Local Projects*

Input values are based on specific project details and in accordance with the [1993 AASHTO Guide for Design of Pavement Structures](#).

Any nationally recognized design method, such as PavExpress, StreetPave, or WinPASS are acceptable.

Calculating Equivalent Single Axle Load (ESAL):

1. Calculate Traffic Growth Rate: $GR = ((\text{Future ADT}/\text{Present ADT})^{(1/\text{yrs})} - 1) * 100 =$
2. Calculate Traffic Growth Factor: $GF = ((1+g)^n - 1)/g =$ $g = GR/100$
 - a. GF equation may be used in lieu of interpolation of [Table D.20 pg D-24 AASHTO](#)
 - b. n = Analysis Period also known as Performance Period or Design Life. This variable (n) is independent of the time period associated with the traffic projection (yrs).
3. Calculate ESALs: $ESALs = \text{Present ADT} \times 365 \text{ days/yr} \times HT \times GF \times TF \times D_D \times D_L$
 - a. HT = Heavy Trucks (%/100)
 - b. GF = Traffic Growth Factor calculated above
 - c. TF = Truck Factor
 - i. Use single Truck Factor and ESAL calculation based on National Functional Classification, **OR**
 - ii. Multiple Truck Factors if detailed traffic distribution is known or assumed [pg D-25 AASHTO](#)
 - d. D_D = Directional Distribution Factor (%/100) [pg II-9 AASHTO](#)
 - e. D_L = Lane Distribution Factor (%/100) [pg II-9 AASHTO](#)

Flexible Pavement Design (New Build)

1. Calculate ESALs as shown above
2. Calculate Effective Roadbed Soil Resilient Modulus (M_R) [pg II-14 Fig. 2.3 AASHTO](#)
 - a. Opt, wet, dry M_R values for NE soils available
 - b. Frozen and chemically stabilized M_R values available
 - c. Note: nomograph can be replaced by $u_f = 1.18 \times 10^8 \times M_R^{-2.32}$ [pg II-14 AASHTO](#)
3. Estimate Design Structural Number (SN) [pg II-32 Fig. 3.1 AASHTO](#)
4. Identify desired materials and required depths to meet SN through iterative process. There are numerous potential solutions to any given SN [pg II-35 AASHTO](#) $SN = a_1D_1 + a_2D_2m_2 + a_3D_3m_3 + \dots$
 - a. a_1, a_2, a_3 = layer coefficients of surface, base and subbase
 - i. typical coefficients available
 - b. D_1, D_2, D_3 = depths of surface, base and subbase
 - c. m_2, m_3 = drainage coefficients of base and subbase
 - i. coefficients available [pg II-25 Table 2.4 AASHTO](#)

*Flexible Pavement Design Example available in [Appendix H AASHTO](#)

Rehabilitation of Flexible Pavement – Condition Survey Method:

(Used for HMA overlay, mill and overlay, recycle and overlay, etc.)

1. Calculate required Structural Number; Steps 1-3, Flexible Pavement Design (New Build)

- Identify desired material(s) and required depth(s) to meet SN through iterative process [pg II-35 AASHTO](#)

$$SN = a_1D_1 + a_2D_2m_2 + a_3D_3m_3 + \dots$$

- Process similar to Step 4, Flexible Pavement Design (New Build). Primary difference is rehabilitation typically only involves HMA surface, leaving existing HMA, base, subbase, etc. below.
 - Age and condition of existing underlying materials must be taken into consideration when assigning layer coefficients.
 - Typical coefficients available
- A shorter performance period may be appropriate depending on scope of rehabilitation

Rigid Pavement Design (New Build):

- Calculate ESALs as shown above
- Calculate Effective Modulus of Subgrade Reaction (k) [pg II-38 Table 3.2 AASHTO](#)
 - Estimate Roadbed Resilient Modulus (M_R) for each season
 - Opt, Wet, Dry M_R values for NE soils available
 - Frozen and chemically stabilized M_R values available
 - Estimate Subbase Elastic Modulus (E_{SB}) **ONLY IF** design includes foundation course for each season
 - Calculate Composite Modulus of Subgrade Reaction (k) [pg II-39 Figure 3.3 AASHTO](#) for designs with foundation course **OR** $k = M_R/19.4$ for slab on grade [pg II-44 AASHTO](#) for each season
 - Modify k-value for effect of rigid foundation if bedrock within 10' [pg II-40 Fig 3.4 AASHTO](#) for each season if necessary. This step typically not applicable in NE.
 - Calculate Relative Damage to pavement [pg II-41 Fig 3.5 AASHTO](#) for each season based on Composite k value calculated in step c (unless step d was used).
 - Calculate Average Relative Damage by completing [pg II-38, Table 3.2 AASHTO](#)
 - Back calculate composite k value using Average Relative Damage [pg II-41 Fig 3.5 AASHTO](#)
 - Correct k value for loss of support [pg II-42 Fig 3.6 AASHTO](#)
- Estimate required pavement thickness [pg II-45 Fig 3.7 AASHTO](#)
 - This is the minimum required thickness based on project inputs. Local minimum design policies, engineering judgment, constructability issues, etc. may dictate additional depth.

*Rigid Pavement Design Example available in [Appendix I AASHTO](#)

Rehabilitation of PCC – PCC Condition Survey Method:

(Used for HMA overlay of PCC)

- Calculate required slab depth for future traffic (D_f); Steps 1-3, Rigid Pavement Design (New Build)
- Calculate the effective depth of existing PCC based on condition $D_{eff} = F_{jc} \times F_{fat} \times F_{dur} \times D_{ex}$ [pg III-121 AASHTO](#)
 - D_{eff} = Effective slab depth (in)
 - F_{jc} = Joints and Cracks adjustment factor
 - F_{fat} = Fatigue Damage adjustment factor
 - F_{dur} = Durability adjustment factor
 - D_{ex} = Existing slab depth (in)
 - Recommended factors [pg III-123 AASHTO](#)
- Calculate A factor $A = 2.2233 + 0.0099(D_f - D_{eff})^2 - 0.1534(D_f - D_{eff})$ [pg III-115 AASHTO](#)
 - D_f = Slab depth for future traffic (in)
- Calculate depth of overlay required (D_{ovl}). $D_{ovl} = A(D_f - D_{eff})$ [pg III-115 AASHTO](#)

Local Projects Pavement Determination Data Sheet

Pavement Determination Data Sheet		
Project Name _____ Project No. _____ Control No. _____ Letting Date _____ Prepared by _____ Date _____		
Scoping Information		
Pavement Determination		
Mainline-		
Shoulder-		
Patching-		
Pavement History		
Top Layer _____ Intermediate _____ Intermediate _____ Base layer _____ Subgrade _____		
Pavement Management System or Field Visit Information		
HMA		PCC
Rutting (mm) _____		Cracking(%) _____
Cracking (%) _____		Faulting _____
Rating _____		Rating _____
Cores		Soils
Location	Depth	Classification
1		Optimum Modulus _____
2		Wet Modulus _____
3		Dry Modulus _____
4		Frozen Modulus _____
5		
6		
7		
8		
Comments		Traffic
		Current ADT _____
		yr Forecast ADT _____
		% Heavy Trucks _____
		Predicted ESAL's _____
Design Method Used: AASHTO		
Structure Number (HMA) or Thickness (PCC) Required for ESAL's		_____
Structure Number or Thickness Designed (must be ≥ required)		_____

Rigid Design Inputs								
Thickness based on ESAL's	<i>Use this method for New Build design or for establishing Required thickness for comparison to Rehabilitation design.</i>							
Performance Period (Yrs) _____	Base Type _____							
Number of Lanes in Design Direction _____	Base Thickness _____							
% of Trucks in Design Lane _____	Depth to Bedrock _____							
% of Trucks in Design Direction _____	Projected Slab Thickness _____							
Average Initial Truck Factor (ESALS/Truck) _____	Loss of Support _____							
Traffic Growth Rate (GR) _____	Reliability Level _____							
Traffic Growth Factor (GF) _____	Overall Standard Deviation _____							
Initial Serviceability _____	Load Transfer Coefficient _____							
Terminal Serviceability _____	Drainage Coefficient _____							
28-Day Mean PCC Modulus of Rupture _____	Thickness Required (D_r) <input style="width: 50px;" type="text"/>							
28-Day Mean PCC Elastic Modulus of Slab _____	<i>Use these inputs & the Rigid Pavement Nomograph (Figure 3.7, pg. II-45)</i>							
PCC Condition Survey Method (Rehabilitation)								
$D_{ovl} = A (D_r - D_{eff})$	<i>Use for Rehabilitation(HMA overlay) for existing concrete roadways</i>							
$A = 2.2233 + 0.0099 (D_r - D_{eff})^2 - 0.1534 (D_r - D_{eff})$								
$D_{eff} = F_{jc} \times F_{fat} \times F_{dur} \times D_{ex}$								
<p><u>Definitions:</u></p> <p>D_{ovl} = HMA overlay thickness (inches)</p> <p>D_r = PCC required thickness(inches) based on ESAL's</p> <p>D_{eff} = Condition Survey or factor of existing PCC as thickness (inches)</p> <p>D_{ex} = Existing PCC Depth</p> <p>A = Conversion number for PCC to HMA</p> <p>F_{jc} = Joint & Crack adjustment factor (1.0 - 0.5)</p> <p>F_{fat} = Fatigue Damage adjustment factor(1.0 - 0.9)</p> <p>F_{dur} = Durability Factor (1.0 - 0.8)</p>								
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="padding: 2px;">F_{jc} = _____</td></tr> <tr><td style="padding: 2px;">F_{fat} = _____</td></tr> <tr><td style="padding: 2px;">F_{dur} = _____</td></tr> <tr><td style="padding: 2px;">D_{ex} = _____</td></tr> <tr><td style="padding: 2px;">D_{eff} = _____</td></tr> </table>	F_{jc} = _____	F_{fat} = _____	F_{dur} = _____	D_{ex} = _____	D_{eff} = _____	<table style="width: 100%;"> <tr> <td style="width: 50%; text-align: center;"><input style="width: 50px;" type="text" value="A ="/></td> <td style="width: 50%; text-align: center;"><input style="width: 50px;" type="text" value="D<sub>ovl</sub> ="/></td> </tr> </table>	<input style="width: 50px;" type="text" value="A ="/>	<input style="width: 50px;" type="text" value="D<sub>ovl</sub> ="/>
F_{jc} = _____								
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D_{ex} = _____								
D_{eff} = _____								
<input style="width: 50px;" type="text" value="A ="/>	<input style="width: 50px;" type="text" value="D<sub>ovl</sub> ="/>							
Comments:								

Local Projects Preliminary and Final Checklists

Date: 2018

Source: Local Projects



Design Preliminary Pavement Design Checklist # 06-12 page 1 of 3

Instructions for Use: Sections of this form are to be completed by the LPD PC before forwarding to NDOT M&R Pavement Design Section to check the Pavement Design related items on the 30% Plan Set. The NDOT LPD PC will submit a copy of the 30% PIH Plan set and the Pavement Determination to NDOT M&R to conduct this review.

Local Public Agency (LPA):	LPA Responsible Charge:	
State Project No.:	Project Name and Location:	
State Control No.:	Date of Review:	This Form was Completed By:

This section to be completed by the LPD PC:

Item #	Task Description or Questions	Completed			If No, Define Corrective Action	Details or Information Used to Verify Content	Additional Comments
		Yes	No	N/A			
1.	Have the 30% PIH plans been submitted and are they ready for review?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			A pavement design analysis is not required for maintenance projects.
2.	Has the Pavement Determination Data Sheet (PDDS) been submitted?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			Sheet 1 required for maintenance projects (<2" HMA). Sheets 1 & 2 or 1 & 3 required for resurfacing and new build projects. Appropriate nomographs required for new build.
3.	Will a Permit to Occupy State ROW be required and has that been noted on the submittal memo?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			Only if applicable.
4.	Has the LPA notified the PC of any known relaxation of design standards?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			

Remaining sections to be completed by Materials and Research.

Remaining Pavement Design

Item #	Task Description or Questions	Completed			If No, Define Corrective Action	Details or Information Used to Verify Content	Additional Comments
		Yes	No	N/A			
5.	Has a copy of the pavement design analysis been received?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			A pavement design analysis is not required for maintenance projects.
6.	Was the pavement design developed using a nationally recognized method? (<i>AASHTO, Asphalt Institute Method, Portland Cement Association</i>)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
7.	Are all of the necessary inputs for the pavement design included? (ADT, %HT, expected life, layer coefficients...)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Design Analysis Input and Output	
8.	Does the pavement strategy seem reasonable for the project scope? (<i>Check for constructability issues, material availability, etc.</i>)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Existing Pavement Information, existing pavement determination, material testing information.	
9.	Have all the pavement mix types been pre-approved?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		All asphalt or concrete must be a current NDOR mix.	

Checklist # 06-12 page 3 of 3

Plans

Item #	Task Description or Questions	Completed			If No, Define Corrective Action	Details or Information Used to Verify Content	Additional Comments
		Yes	No	N/A			
10.	Do the project plans have typical sections or details that address all of the necessary pavement work?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
11.	Have all the pavement related items been properly labeled in the typical section or detail? (<i>Depth of strategy, shouldering, preparation, inlays</i>).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
12.	Is the existing pavement depth shown or described on the plans? (Needed for all removal, rehabilitation, repair or recycling sections of the project)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			